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Patent Number	6,978,734
Issue Date	12/27/2005
Application Number	10/677,399
Filing Date	12/01/2003
First Named Inventor	Smith, David V. et al.
Attorney Docket Number	HM-87423

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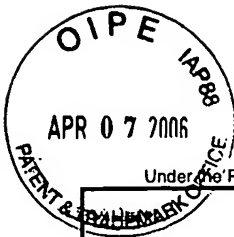
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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: David V. Smith and Lee Roy CarstensenApplication No./Patent No./Control No.: 6,978,734 Filed/Issue Date: 12/27/2005Entitled: ELECTRIC FISH BARRIER FOR WATER INTAKES AT VARYING DEPTHSSmith-Root, Inc.

(Name of Assignee)

, a Corporation

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

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- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Katherine Harper

Signature

Katherine Harper

Printed or Typed Name

Operations Supervisor

Title

4/4/06

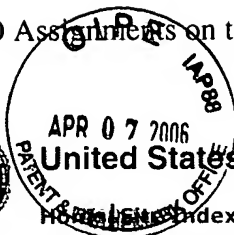
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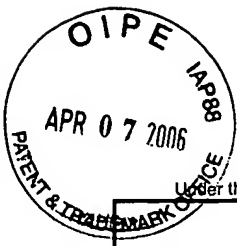
Total Assignments: 1**Patent #:** 6978734 **Issue Dt:** 12/27/2005 **Application #:** 10677399 **Filing Dt:** 10/01/2003**Inventors:** David V. Smith, Lee Roy Carstensen**Title:** ELECTRIC FISH BARRIER FOR WATER INTAKES AT VARYING DEPTHS**Assignment: 1****Reel/Frame:** 014586/0633**Recorded:** 10/01/2003**Pages:** 2**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** SMITH, DAVID V.**Exec Dt:** 09/10/2003CARSTENSEN, LEE ROY**Exec Dt:** 09/10/2003**Assignee:** SMITH-ROOT, INC.1414 N.E. SALMON CREEK AVENUE
VANCOUVER, WASHINGTON 98686**Correspondent:** NORDAM, CORMANY, HAIR ET AL.KENNETH J. HOVET
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